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PTO/SB/21 (07-06)

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

<b>TRANSMITTAL FORM</b>		Application Number	10/718,534; Confirmation 3647
		Filing Date	11/24/2003
		First Named Inventor	Burton
		Art Unit	1643
		Examiner Name	Bradley Duffy
Total Number of Pages in This Submission	5	Attorney Docket Number	330651

## ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) ____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Credit Card payment form (1 sheet); Information Disclosure Statement (2 pages); 7 Foreign patent references and 2 non-patent literature documents
<div style="border: 1px solid black; padding: 5px;"> <b>Remarks</b>    </div>		

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm	FAGRE & BENSON LLP		
Signature			
Printed Name	Richard A. Nakashima		
Date	9/21/06	Reg. No.	42,023

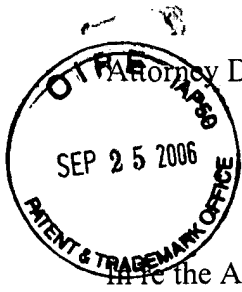
## CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Signature			
Typed or printed name	Laura S. Mellblom	Date	9.21.06

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Attorney Docket No. 330651

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

BURTON, et al.

Application No.: 10/718,534

Filed: 11/24/2003

For: ANTIBODY/RECEPTOR TARGETING  
MOIETY FOR ENHANCED DELIVERY OF  
ARMED LIGAND

Examiner: Bradley Duffy

Art Unit: 1643

INFORMATION DISCLOSURE STATEMENT  
(37 C.F.R. §§ 1.97(b)(3) and 1.98)

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The Examiner is requested to consider the references noted on the enclosed Form PTO/SB/08A (Substitute for form 1449A/PTO) during examination of the above-identified application. These references are submitted for the Examiner's consideration and are submitted pursuant to the duty of disclosure under 37 C.F.R. § 1.56. In submitting these references, no representation is made or implied that the references are or are not material to the examination of the application. The Examiner is encouraged to make his or her own determination of materiality.

The fee set forth in 37 CFR 1.17(p) has been submitted herewith. Should any additional fees and/or any underpayment of fee(s); credit any overpayments be deemed necessary, such fees may be charged to Deposit Account No. 06-0029.

09/26/2006 HVUONG1 00000071 10718534

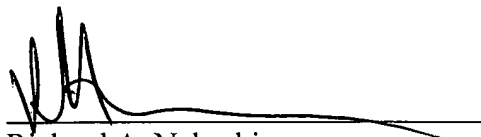
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Should the examiner have any questions concerning the relevance of any reference cited in this disclosure, please contact the undersigned attorney.

Dated: September 21, 2006

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Richard A. Nakashima', is written over a horizontal line.

Richard A. Nakashima  
Registration No. 42,023  
Customer No. 35657

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**Complete if Known**

Application Number	10/718,534; Continuation of 06/477,144
Filing Date	11/24/2003
First Named Inventor	Burton
Art Unit	1643
Examiner Name	Bradley Duff
Attorney Docket Number	330651

***(Use as many sheets as necessary)***

Sheet	1	of	2
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				
	2	WO 92/00762	1-23-1992	Verheul		
	3	WO 93/19163	9-30-1993	Eshhar		
	4	WO 94/07535	4-14-1994	Lyle		
	5	WO 94/22914	10-13- 1994	Greenberg		
	6	WO 97/15663	5-1-1997	Willson		
	7	WO 97/31946	9-4-1997	Collins		
	8	WO 98/16254	4-23-- 1998	Goldenberg		

Date  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.<sup>1</sup> Applicant's unique citation designation number (optional).<sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04.<sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).<sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.<sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.<sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449B/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 2 of 2

**Complete if Known**

Application Number	10/718,534; Confirmation 3647
Filing Date	11/24/2003
First Named Inventor	Burton
Art Unit	1643
Examiner Name	Bradley Duffy
Attorney Docket Number	330651

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	9	MACLEAN, JAMES A., et al. "Anti-CD3:Anti-IL-2 Receptor-Bispecific mAb-Mediated Immunomodulation" The Journal of Immunology, Oct. 1, 1995 155 (7) 3674-82 XP002103531	
	10	SEIPELT, IRENE, et al., "Overexpression, Purification, and Use of a Soluble Human Interleukin-4 Receptor a-chain/IgY1 Fusion Protein for Ligand Binding Studies" Biochemical Biophysical Research Communications 239, 534-542 (1997) Article No. RC977509	

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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